05-11-05

### IN THE UNITED STATES PATENT AND TRÅDEMARK OFFICE

licants:

Rudy Mazzocchi et al.

Attorney Docket: MVA1001USC6

Serial No.:

10/051,648

Group Art Unit: 3731

Filed:

January 18, 2002

Examiner: Vy Q. Bui

For:

METHOD AND DEVICE FOR FILTERING BODY FLUID

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following:

- 1. Supplemental Information Disclosure Statement (3 pages);
- 2. Form 1449 listing 30 documents (2 pages);
- 3. Copies of the 30 listed documents; and
- 4. Check in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.97(c).

Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2312.

Respectfully submitted,

Dotod.

By

Customer No. 009561

Terry L. Wiles (29,989)

Patrick J. O'Connell (33,984)

Cecilia Jaisle (28,824)

POPOVICH, WILES & O'CONNELL, P.A.

650 Third Avenue South, Suite 600

Minneapolis, MN 55402 Telephone: (612) 334-8989 Attorneys for Applicants

Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 730709591 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: 1/04 10, 2005

Signature:

Name: Jodi Jang

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 10/051,648

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METHOD AND DEVICE FOR FILTERING BODY FLUID

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicants' duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

The Applicants and others substantively involved in the preparation or prosecution of the application are aware of the documents listed on the enclosed PTO-1449 form, and have enclosed copies of the listed documents.

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicants and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 730709591 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Signature:

Date: 1/01/0005

Name: Jodi Jung

05/12/2005 GNORDOF1 00000002 40051648

O1 FC-1806

180.00 OP

Supplemental Information Disclosure Statement Attorney Docket: MVA1001USC6

Applicants: Rudy Mazzocchi et al.

Serial No.: 10/051,648

Applicants reserve the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that the documents cited on the PTO-1449 form is not prior art to the present invention.

Applicants call to the Examiners attention co-pending applications Serial Nos. 10/051,565, filed January 18, 2002, 10/051,492, filed January 18, 2002, 10/051,591, filed January 18, 2002, 10/060,272, filed January 30, 2002, 10/607,328, filed June 26, 2003, 10/919,735, August 17, 2004, 11/006,449, filed December 6, 2004, 11/006,165, filed December 6, 2004, and 11/098,898, filed April 4, 2005, which share a common disclosure with the present application and claim priority to the same parent application.

The Examiners attention is also directed to European Patent No. 0769926 and European Patent Application No. 02076318.1 both of which derive priority from the same parent application as the present application. EP 0769926 is currently being opposed. Details regarding this patent and pending application may be found at the online European Patent Register (<a href="http://register.epoline.org/espacenet/ep/en/srch-reg.htm">http://register.epoline.org/espacenet/ep/en/srch-reg.htm</a>). Applicants will submit further documents or details regarding the European patent and pending application upon Examiners request. The Examiner's attention is also directed to Japanese Application Nos. 8-504446 and 2004-267796, both of which derive priority from the same application as the present application. Applicants will submit further documents or details regarding these pending Japanese applications upon Examiner's request.

The fee of \$180.00 is enclosed to cover the fee under 37 C.F.R. § 1.97(c).

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicants request that the Examiner consider the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form. Supplemental Information Disclosure Statement

Applicants: Rudy Mazzocchi et al.

Serial No.: 10/051,648

It is believed that no additional fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 5/10/05

Customer No. 009561

Terry L. Wiles (29,989)

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Minneapolis, MN 55402

Telephone: (612) 334-8989

Attorneys for Applicants

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.			
OIPE		MVA1001USC6	10/051,648			
	ATION DISCLOSURE ENT BY APPLICANT	APPLICANTS				
MAY U 3 LUGO LL		Rudy Mazzocchi et al.				
	ral sheets if necessary)	FILING DATE	GROUP			
TAY & TRANSMITTE		January 18, 2002	3731			

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	6	1	1	5	9	4	09/16/86	Grayhack et al.			
	4	6	3	1	0	5	2	12/23/86	Kensey			
	4	6	6	9	4	6	9	06/02/87	Gifford III et al.			
	4	7	2	8	3	1	9	03/01/98	Masch			
	4	7	9	0	8	1	3	12/13/88	Kensey			
	4	8	0	7	6	2	6	02/28/89	McGirr			-
	4	8	4	2	5	7	9	06/27/89	Shiber			
	4	9	2	7	4	2	6	05/22/90	Dretler			
	4	9	5	7	4	8	2	09/18/90	Shiber	-		
	5	0	1	1	4	8	8	04/30/91	Ginsburg			
	5	1	0	0	4	2	3	03/31/92	Fearnot			-
	5	1	0	0	4	2	5	03/31/92	Fischell et al.			
	5	1	0	2	4	1	5	04/07/92	Guenther et al.			
	5	1	3	5	5	3	1	08/04/92	Shiber			•
	5	1	6	0	3	4	2	11/03/92	Reger et al.			
	5	1	9	2	2	8	6	03/09/93	Phan et al.			-
	5	1	9	5	9	5	5	03/23/93	Don Michael			
	5	3	8	2	2	5	9	01/17/95	Phelps			
	5	3	8	3	8	8	7	01/24/95	Nadal			
	5	4	1	5	6	3	0	05/16/95	Gory et al.			
	5	4	2	3	7	4	2	06/13/95	Theron	_		
	5	4	6	2	5	2	9	10/31/95	Simpson			
	5	5	4	0	7	0	7	07/30/96	Ressemann et al.			
	5	5	5	6	3	8	9	09/17/96	Liprie			

# FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	
	WO 92/15357	09/17/92	WIPO	· · · · · · · · · · · · · · · · · · ·		YES	NO
	WO 93/12723	07/08/93	WIPO				
	WO 94/06372	03/31/94	WIPO		<u> </u>		
· -	WO 96/01599	01/25/96	WIPO				
	EP 0 769 926 B1	01/08/03	EPO		<del>                                     </del>		
					<del> </del>		

Sheet	2	of	2	
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OTHER DOCUMENTS	(Including	Author,	Title,	Date	, Pertinent	Pages.	Etc.	)
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	English language translation of DE-A-3107392 (15 pages).
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.